SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Brenner Malcolm			2. Issuer Name and Ticker or Trading Symbol Allovir, Inc. [ALVR]		ationship of Reporting Pe (all applicable) Director	rson(s) to Issuer 10% Owner		
1	O ALLOVIR, INC.		3. Date of Earliest Transaction (Month/Day/Year) 02/16/2021		Officer (give title below)	Other (specify below)		
139 MAIN STREET, SUITE 500			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) CAMBRIDGE	МА	02142		X	Form filed by One Rep Form filed by More that Person	5		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction	2A. Deemed	3.		4. Securities			5. Amount of	6. Ownership	7. Nature of
, , ,	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/16/2021		S ⁽¹⁾		5,120	D	\$41.7518 ⁽²⁾	1,013,543	Ι	See Footnote ⁽³⁾
Common Stock	02/16/2021		S ⁽¹⁾		1,000	D	\$ 42.2495 ⁽⁴⁾	1,012,543	Ι	See Footnote ⁽³⁾
Common Stock	02/16/2021		S ⁽¹⁾		100	D	\$43.13	1,012,443	Ι	See Footnote ⁽³⁾
Common Stock	02/17/2021		S ⁽¹⁾		1,200	D	\$38.6606(5)	1,011,243	Ι	See Footnote ⁽³⁾
Common Stock	02/17/2021		S ⁽¹⁾		1,000	D	\$39.966 ⁽⁶⁾	1,010,243	I	See Footnote ⁽³⁾
Common Stock	02/17/2021		S ⁽¹⁾		2,765	D	\$41.2906 ⁽⁷⁾	1,007,478	I	See Footnote ⁽³⁾
Common Stock	02/17/2021		S ⁽¹⁾		200	D	\$41.99	1,007,278	I	See Footnote ⁽³⁾
Common Stock								30,197	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted on December 3, 2020.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$41.10 to \$42.07. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

3. Shares held by Salt Free LP. The Reporting Person has a controlling interest in Salt Free LP and may be deemed to beneficially own these securities. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

4. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$42.10 to \$42.64. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$38.16 to \$39.12. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

6. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$39.60 to \$40.47. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

7. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$40.89 to \$41.73. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

Remarks:

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.