SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0								
Estimated average burden								
hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Miller Edward</u> (Last) (First) (Middle) C/O ALLOVIR, INC. 139 MAIN STREET, SUITE 500				2. Issuer Name and Ticker or Trading Symbol <u>Allovir, Inc.</u> [ALVR] 3. Date of Earliest Transaction (Month/Day/Year) 08/03/2020						(Chec	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) General Counsel			
(Street) CAMBRIDGE (City)	MA (State)	02142 (Zip)	4	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Ind Line) X	ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transacti Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock			08/03/20	020		С		32,759	A	(1)	32,759	I	By Trust ⁽²⁾	
Common Stock									1		332,103	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed Execution Date, if any (Month/Day/Year) 7. Title and Amount of Securities Underlying 1. Title of 3. Transaction Date 5. Number 6. Date Exercisable and Expiration Date 8. Price of Derivative 9. Number of 11. Nature of Indirect 10 Z. Conversion Transaction Code (Instr. 8) Ownership Derivative derivative (Month/Day/Year) Derivative Security (Instr. 3) (Month/Day/Year) Security (Instr. 5) Beneficial or Exercise Securities Form: Price of Derivative Security Direct (D) or Indirect (I) (Instr. 4) Securities Derivative Security (Instr. 3 and 4) Beneficially Ownership Owned Following Acquired (Instr. 4) (A) or Disposed Reported Transaction(s) (Instr. 4) of (D) (Instr 3, 4 and 5) Amount Number Date Expiration of Code ν (A) (D) Exercisable Date Title Shares Series B Convertible Commor By Trust⁽²⁾ (1) 08/03/2020 С 48,819 (1) (1) 32.759 0 I Preferred Stock Stock

Explanation of Responses:

1. Each share of convertible preferred stock automatically converted into the Issuer's Common Stock on a one-for-1.49021 basis upon the closing of the Issuer's initial public offering on August 3, 2020 and had no expiration date.

2. Shares held by The Miller Family 2019 Irrevocable Dynasty Trust. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Remarks:

<u>/s/ Brett Hagen, as Attorney-in-</u> <u>Bact</u> 08/05/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.